

**SMART FINSEC LIMITED**  
(Formerly Known as Kevalin Securities Limited)  
Registered Office:- F-88, IIND FLOOR, INDUSTRIAL POCKET, DISTRICT CENTRE WEST DELHI, RAJA GARDEN  
NEW DELHI-110027  
CIN:- L74899DL1995PLC063562  
Phone:-011- 25167071; 45004425  
Email Id:-smartfinsec@gmail.com

**Statement Showing Shareholding Pattern**

| Name of the Company :   |   | Smart Finsec Limited   |                        |  |  |                            |  |                 |
|---|---|------------------------|------------------------|--|--|----------------------------|--|-----------------|
| Scrip Code :  |   | Quarter Ended :        |                        |  |  | 31.12.2013                 |  |                 |
| Category code   | Category of Shareholder   | Number of Shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                 |
|   |   |                        |                        |  | As a percentage of(A+B) <sup>1</sup>                         | As a percentage of (A+B+C) | Number of shares                       | As a percentage |
| <b>(A) Shareholding of Promoter and Promoter Group<sup>2</sup></b>                          |   |                        |                        |  |  |                            |  |                 |
| <b>1 Indian</b>   |   |                        |                        |  |  |                            |  |                 |
| (a)   | Individuals/ Hindu Undivided Family   | 8                      | 2017000                | 854300                                       | 67.23  | 67.23                      | Nil                                    | Nil             |
| (b)   | Central Government/ State Government(s)   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (c)   | Bodies Corporate  | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (d)   | Financial Institutions/ Banks   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (e)   | Any Others(Specify)   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
|   | <b>Sub Total(A)(1)</b>  | <b>8</b>               | <b>2017000</b>         | <b>854300</b>                                | <b>67.23</b>   | <b>67.23</b>               | <b>Nil</b>                             | <b>Nil</b>      |
| <b>2 Foreign</b>  |   |                        |                        |  |  |                            |  |                 |
| a   | Individuals (Non-Residents Individuals/ Foreign Individuals)                          | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| b   | Bodies Corporate  | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| c   | Institutions  | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| d   | Any Others(Specify)   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
|   | <b>Sub Total(A)(2)</b>  | <b>Nil</b>             | <b>Nil</b>             | <b>Nil</b>                                   | <b>Nil</b>   | <b>Nil</b>                 | <b>Nil</b>                             | <b>Nil</b>      |
|   | <b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>           | <b>8</b>               | <b>2017000</b>         | <b>854300</b>                                | <b>67.23</b>   | <b>67.23</b>               | <b>Nil</b>                             | <b>Nil</b>      |
| <b>(B) Public shareholding</b>  |   |                        |                        |  |  |                            |  |                 |
| <b>1 Institutions</b>   |   |                        |                        |  |  |                            |  |                 |
| (a)   | Mutual Funds/ UTI   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (b)   | Financial Institutions Banks  | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (c)   | Central Government/ State Government(s)   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (d)   | Venture Capital Funds   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (e)   | Insurance Companies   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (f)   | Foreign Institutional Investors   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (g)   | Foreign Venture Capital Investors   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (h)   | Any Other (specify)   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
|   | <b>Sub-Total (B)(1)</b>   | <b>Nil</b>             | <b>Nil</b>             | <b>Nil</b>                                   | <b>Nil</b>   | <b>Nil</b>                 | <b>Nil</b>                             | <b>Nil</b>      |
| <b>B 2 Non-institutions</b>   |   |                        |                        |  |  |                            |  |                 |
| (a)   | Bodies Corporate  | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
| (b)   | Individuals   |                        |                        |  |  |                            |  |                 |
| i   | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 181                    | 151800                 | 100  | 5.06   | 5.06                       | Nil                                    | Nil             |
| ii  | Individual shareholders holding nominal share capital in excess of Rs. 1 lakh         | 11                     | 824900                 | 0  | 27.50  | 27.50                      | Nil                                    | Nil             |
| (c)   | Any Other (specify)   | 3                      | 6300                   | 0  | 0.21   | 0.21                       | Nil                                    | Nil             |
|   | <b>Sub-Total (B)(2)</b>   | <b>195</b>             | <b>983000</b>          | <b>100</b>                                   | <b>32.77</b>   | <b>32.77</b>               | <b>Nil</b>                             | <b>Nil</b>      |
|   | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>                                   | <b>195</b>             | <b>983000</b>          | <b>100</b>                                   | <b>32.77</b>   | <b>32.77</b>               | <b>Nil</b>                             | <b>Nil</b>      |
|   | <b>TOTAL (A)+(B)</b>  | <b>201</b>             | <b>3000000</b>         | <b>854400</b>                                | <b>32.77</b>   | <b>32.77</b>               | <b>Nil</b>                             | <b>Nil</b>      |
| <b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b> |   |                        |                        |  |  |                            |  |                 |
|   |   | Nil                    | Nil                    | Nil  | Nil  | Nil                        | Nil                                    | Nil             |
|   | <b>GRAND TOTAL (A)+(B)+(C)</b>  | <b>201</b>             | <b>3000000</b>         | <b>854400</b>                                | <b>100</b>   | <b>100</b>                 | <b>Nil</b>                             | <b>Nil</b>      |



| (I)(b) Statement showing Shareholding of persons belonging to the category<br>"Promoter and Promoter Group" |                         |                   |                                      |
|---|-------------------------|-------------------|--------------------------------------|
| Sr. No.   | Name of the shareholder | Total shares held |                                      |
|   |                         | Number of shares  | As a % of grand total<br>(A)+(B)+(C) |
| 1   | Jatinder Kumar Khera    | 418100            | 13.94                                |
| 2   | Sachit Khera            | 142700            | 4.76                                 |
| 3   | Sangeeta Periwal        | 30000             | 1                                    |
| 4   | Sunaina Khera           | 135700            | 4.52                                 |
| 5   | Arun Khera HUF          | 372500            | 12.41                                |
| 6   | Arun Khera              | 347000            | 11.57                                |
| 7   | Raman Khera             | 393800            | 13.12                                |
| 8   | Vimmi Sachdev           | 177200            | 5.91                                 |
| <b>TOTAL</b>  |                         | <b>2017000</b>    | <b>67.23</b>                         |



(1)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No.      | Name of the shareholder | Number of shares | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above) |
|--------------|-------------------------|------------------|--|
| 1            | Ashok Gambhir           | 113500           | 3.78   |
| 2            | Jogesh Sachdev          | 93700            | 3.12   |
| 3            | Pooja                   | 81500            | 2.72   |
| 4            | Luv Gambhir             | 80100            | 2.67   |
| 5            | Smarika Arora           | 71700            | 2.39   |
| 6            | Subhash Gambhir         | 68000            | 2.27   |
| 7            | Hardeep Singh Bedi      | 67900            | 2.26   |
| 8            | Saundraya Arora         | 66400            | 2.21   |
| 9            | Anuj Baweja             | 61600            | 2.05   |
| 10           | Arvinder Singh Bedi     | 60400            | 2.01   |
| 11           | Ekant Baweja            | 60100            | 2  |
| <b>TOTAL</b> |                         | <b>824900</b>    | <b>27.48</b>   |





(I)(d) Statement showing details of locked-in shares

| Sr. No.      | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-------------------------|----------------------------|--|
| 1            |                         |                            |  |
| 2            | NOT APPLICABLE          | NIL                        | NIL  |
| <b>TOTAL</b> |                         |                            |  |



(H)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|---|---------------------------|---|---|
| 1       |   |                           |   |   |
| 2       | NOT APPLICABLE                                  | NIL                       | NIL   | NIL   |
| TOTAL   |   |                           |   |   |



(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

| Sr. No.      | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|--------------|-----------------------|---|---|---|
| 1            | Arun Khera            | 347000  | NIL   | NIL   |
| 2            | Arun Khera HUF        | 113500  | NIL   | NIL   |
| 3            | Raman Khera           | 393800  | NIL   | NIL   |
| 4            |                       |   |   |   |
| 5            |                       |   |   |   |
| 6            |                       |   |   |   |
| 7            |                       |   |   |   |
| 8            |                       |   |   |   |
| 9            |                       |   |   |   |
|              | Not Applicable        |   |   |   |
| <b>TOTAL</b> |                       | 854300  |   |   |

