

General information about company

| | |
|--|-----------------------|
| Scrip code | 539494 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE766D01020 |
| Name of the company | SMART FINSEC LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2023 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table VI - Statement showing foreign ownership limits

| Particular | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date | 100 | 0.61 |
| As on the end of previous 1st quarter | 100 | 0.41 |
| As on the end of previous 2nd quarter | 100 | 0.38 |
| As on the end of previous 3rd quarter | 100 | 0 |
| As on the end of previous 4th quarter | 100 | 0 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 5 | 22495560 | | | 22495560 | 74.99 | 22495560 | | 22495560 | 74.99 |
| (B) | Public | 12848 | 7504440 | | | 7504440 | 25.01 | 7504440 | | 7504440 | 25.01 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 12853 | 30000000 | | | 30000000 | 100 | 30000000 | | 30000000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | | | | 74.99 | | | | | 22495560 | | | |
| (B) | Public | | | | 25.01 | | | | | 6422440 | 0 | 0 | 0 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | |
| | Total | | | | 100 | | | | | 28918000 | 0 | 0 | 0 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 22495560 | | | 22495560 | 74.99 | 22495560 | | 22495560 | 74.99 |
| Sub-Total (A)(1) | | 5 | 22495560 | | | 22495560 | 74.99 | 22495560 | | 22495560 | 74.99 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 5 | 22495560 | | | 22495560 | 74.99 | 22495560 | | 22495560 | 74.99 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | |
| (g) | Resident Individuals holding nominal share capital up to Rs. 2 lakhs | 12724 | 7093237 | | | 7093237 | 23.64 | 7093237 | | 7093237 | 23.64 |
| (i) | Non Resident Indians (NRIs) | 60 | 184324 | | | 184324 | 0.61 | 184324 | | 184324 | 0.61 |
| (l) | Bodies Corporate | 8 | 10869 | | | 10869 | 0.04 | 10869 | | 10869 | 0.04 |
| (m) | Any Other (specify) | 56 | 216010 | | | 216010 | 0.72 | 216010 | | 216010 | 0.72 |
| Sub-Total (B)(4) | | 12848 | 7504440 | | | 7504440 | 25.01 | 7504440 | | 7504440 | 25.01 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | 12848 | 7504440 | | | 7504440 | 25.01 | 7504440 | | 7504440 | 25.01 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 12853 | 30000000 | | | 30000000 | 100 | 30000000 | | 30000000 | 100 |
| Total (A+B+C) | | 12853 | 30000000 | | | 30000000 | 100 | 30000000 | | 30000000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | | |
| (a) | | | | 74.99 | | | | | 22495560 | | | |
| Sub-Total (A) (1) | | | | 74.99 | | | | | 22495560 | | | |
| (2) | Foreign | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 74.99 | | | | | 22495560 | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | | |
| (2) | Institutions (Foreign) | | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | | |
| (g) | | | | 23.64 | | | | | 6084237 | 0 | 0 | 0 |
| (i) | | | | 0.61 | | | | | 121324 | 0 | 0 | 0 |
| (l) | | | | 0.04 | | | | | 869 | 0 | 0 | 0 |
| (m) | | | | 0.72 | | | | | 216010 | 0 | 0 | 0 |
| Sub-Total (B) (4) | | | | 25.01 | | | | | 6422440 | 0 | 0 | 0 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | | | 25.01 | | | | | 6422440 | 0 | 0 | 0 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 28918000 | | | |
| Total (A+B+C) | | | | 100 | | | | | 28918000 | | | |

Individuals/Hindu undivided Family

| Serial No. | 1 | 2 | 3 | 4 | 5 | |
|--|------------------|------------|-------------|--------------|---------------|---------------------------------------|
| Name of the Shareholders (I) | ARUN KHERA (HUF) | ARUN KHERA | RAMAN KHERA | SACHIT KHERA | SUNAINA KHERA | Click here to go back |
| PAN (II) | AAAHA5519G | AAIPK9892N | AAIPK9893P | BBYPK2991N | CGUPK6052L | Total |
| No. of fully paid up equity shares held (IV) | 3725000 | 8385270 | 8553270 | 900000 | 932020 | 22495560 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3725000 | 8385270 | 8553270 | 900000 | 932020 | 22495560 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.42 | 27.95 | 28.51 | 3 | 3.11 | 74.99 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 3725000 | 8385270 | 8553270 | 900000 | 932020 | 22495560 |
| Class eg:y | | | | | | |
| Total | 3725000 | 8385270 | 8553270 | 900000 | 932020 | 22495560 |
| Total as a % of Total Voting rights | 12.42 | 27.95 | 28.51 | 3 | 3.11 | 74.99 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 12.42 | 27.95 | 28.51 | 3 | 3.11 | 74.99 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |

| | | | | | | |
|---|----------|----------|----------------|----------------|----------------|----------|
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 3725000 | 8385270 | 8553270 | 900000 | 932020 | 22495560 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter Group | Promoter Group | Promoter Group | |

Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.

| | | |
|--|------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | 1 TO 12724 | Click here to go back |
| PAN (II) | ZZZZZ9999Z | Total |
| No. of fully paid up equity shares held (IV) | 7093237 | 7093237 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 7093237 | 7093237 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 23.64 | 23.64 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 7093237 | 7093237 |
| Class eg:y | | |
| Total | 7093237 | 7093237 |
| Total as a % of Total Voting rights | 23.64 | 23.64 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 23.64 | 23.64 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in | 6084237 | 6084237 |

| | | |
|------------------------------------|------------------------|---|
| dematerialized form (XIV) | | |
| Reason for not providing PAN | | |
| Reason for not providing PAN | Textual Information(1) | |
| Sub-categorization of shares | | |
| Shareholding (No. of shares) under | | |
| Sub-category (i) | 0 | 0 |
| Sub-category (ii) | 0 | 0 |
| Sub-category (iii) | 0 | 0 |

Text Block

Textual Information(1)

PAN IS NOT MANDATORY FOR SHAREHOLDERS, HOLDING LESS THAN 1% OF SHAREHOLDING OF TOTAL PAID UP CAPITAL.

Any Other (specify)

| Serial No. | 1 | 2 | |
|--|------------------|----------|---------------------------------------|
| Category | Clearing Members | HUF | |
| Category / More than 1 percentage | Category | Category | |
| Name of the Shareholders (I) | | | Click here to go back |
| PAN (II) | | | Total |
| No. of the Shareholders (I) | 2 | 54 | 56 |
| No. of fully paid up equity shares held (IV) | 201 | 215809 | 216010 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 201 | 215809 | 216010 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0.72 | 0.72 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 201 | 215809 | 216010 |
| Class eg:y | | | |
| Total | 201 | 215809 | 216010 |
| Total as a % of Total Voting rights | 0 | 0.72 | 0.72 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | 0.72 | 0.72 |
| Number of Locked in shares (XII) | | | |

| | | | |
|---|-----|--------|--------|
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 201 | 215809 | 216010 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Sub-categorization of shares | | | |
| Shareholding (No. of shares) under | | | |
| Sub-category (i) | 0 | 0 | 0 |
| Sub-category (ii) | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 |

